

**REPORT FOR: GOVERNANCE, AUDIT &  
RISK MANAGEMENT  
COMMITTEE**

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**Date of Meeting:** 4<sup>th</sup> April 2013

**Subject:** **INFORMATION REPORT – 2011/12  
Annual Governance Statement  
Action Plan Update**

**Responsible Officer:** Tom Whiting – Corporate Director of  
Resources

**Exempt:** No

**Enclosures:** 2011/12 AGS Action Plan Update

## **Section 1 – Summary**

This report sets out the action planned to close the gaps identified in the 2011/12 Annual Governance Statement and the progress to date.

**FOR INFORMATION**

## **Section 2 – Report**

2.1 The 2011/12 Annual Governance Statement was presented to the GARM Committee on 4<sup>th</sup> September 2012 with a further report to the Committee on the 29<sup>th</sup> November on the progress made. This report provides a further update and indicates whether the gap was closed for 2012/13 or will need to be reported again as a gap in the 2012/13 Annual Governance Statement. Only two of the fourteen gaps are considered significant.

2.2 In the updated action plan (attached) whether the gap identified in 2011/12 has remained a gap in 2012/13 is traffic-lighted. Green indicates that there is no longer a gap, amber indicates that there is still a gap but that it is smaller and red indicates that the gap remains. Of the twelve non-significant gaps identified in 2011/12 three have been fully closed, three have been partially closed and five remain. Of the two significant gaps one has been partially closed and the other remains.

2.3A significant amount of work is underway and will be completed in Q1 2013/14 to address many of the gaps given a red assurance rating for 2012/13.

### **Section 3 – Financial Implications**

3.1 Financial implications have been shown, where relevant, in the action plan attached.

### **Section 4 – Equalities Implications**

4.1 There are no equalities implications.

### **Section 5 – Corporate Priorities**

5.1 The annual governance process contributes to all the corporate priorities by assessing the robustness of the governance mechanisms that directly or indirectly support these priorities.

Name: Steve Tingle	<input checked="" type="checkbox"/>	on behalf of the Chief Financial Officer
Date: 21/03/13		

### **Section 7 - Contact Details and Background Papers**

**Contact:** Susan Dixson, Internal Audit service Manager

**Background Papers:** None